

**Current and Recent Professional and Academic Assignments and Engagements (1996-present)**

- **UK and European Committee Chair** of the **International Association of Financial Engineers**, international professional financial association. Program and Conference Coordinator for The International Financial Engineering Symposium with world leading financial scholars and industry professionals in association with the Global Finance Chair of the French “Grande Ecole” **CERAM\*** European School of Management in Europe’s most important high-tech development community of Sophia Antipolis on the French Riviera (\***CERAM-Centre d’Enseignement et de Recherche Appliqués au Management**); Guest lecturer and visiting professor on Comparative International Business Law and International Taxation at **CERAM**.
- **European and International Capital Markets Advisor** to a New York headquartered “AAA” financial services insurance company, a Bermuda based reinsurance company and a Connecticut based international hedge fund regarding transaction structuring, international fundraising and marketing a new series of specialized, international investment funds;
- **Advisor to UK and International Universities for Graduate Masters Programs in International Finance**-participation as curriculum validation and accreditation panel member; External Advisor and Guest Lecturer to Departments of Investment, Risk Management & Insurance on Corporate Finance, Investment Banking, International Capital Markets, Derivatives and Foreign Exchange Markets;
- **Senior Marketing Manager and Advisor to the London Clearing House** regarding marketing and implementation of a new derivatives transaction clearing system to leading US, European and Canadian financial institutions and investment banks;

**1985 to 1996**

**Bear, Stearns International Ltd.**  
**1 Canada Square.**  
**London**  
(1993-1996)

**Senior Managing Director**

Senior professional responsible for European new business development for capital markets fundraising, medium-term-notes, private placements and structured finance. Primary target markets included corporates, agencies, central banks, sovereigns and supra-nationals for Austria, Italy, Scandinavian/Nordic countries and UK; additional experience with Benelux countries and France. New business transactions included: Austrian National Bank, Bank Austria, Bank of Italy, City of Copenhagen, Kingdom of Denmark, European Investment Bank, Electricite de France, Eksportfinans (the Norwegian Export Finance Agency), Finnish Export Credit, Oesterreichische Kontrollbank (OKB-the Austrian Export Agency), Österreichische Postsparkasse (PSK-the Austrian Postal Service), Republic of Austria, Societe Generale, Walt Disney, SNCF (the French National Railroad).

**Bear, Stearns & Co. Inc.**  
**245 Park Avenue**  
**New York, New York 10167**  
(1985-1993)

**International Capital Markets**

Established Bear Stearns’ original Capital Markets/Interest Rate and Currency Swap Department in 1985, developed and pioneered first foreign currency bonds issued in the US capital markets, use of swaptions with bonds in the US capital markets, Currency Exchange Warrants<sup>®</sup>, and stock index warrants. These innovative structures and transactions provided the first investment grade new business relationships and transactions for Bear Stearns which included Bankers Trust, Citicorp, Emerson Electric, the European Economic Community, JP Morgan, Ford Motor Credit, General Electric Credit Corp., Hasbro, Manufacturers Hanover Trust, SallieMae and The Limited.

1973-1985

**Vice President  
North American Investment Banking  
International Capital Markets**

**Citibank N. A.  
399 Park Avenue  
New York, New York 10043**

Senior Product Officer, Unit Head and member of the original team that developed and pioneered currency and interest rate swaps, bond linked swaps, the master swap agreement, swaptions, swaps trading warehouse. Citibank's original representative to what became the International Swaps and Derivatives Association, member of the committee that drafted the original code of practice and industry approved master swap agreements. In excess of \$3 billion in structured transactions completed for Citibank customers.

Prior to joining the Citibank Swaps Department in 1981, business manager and financial controller for the Corporate Finance Department, responsible for budgeting and financial reporting, MBA recruiting and training, support staff management and premises. Prior experience in the Corporate Finance Department included cash management and financial consulting advisory, merger and acquisition and private placement assignments. Prior to joining the Corporate Finance Department in 1976, responsibilities and experience included marketing Corporate Cash Management products and services to Citibank's largest international corporate customers, depository and disbursement operations.

**Educational Background:**

1970-1971

**Master of Business Administration  
Finance and International Business**

**Kellogg School of Management  
Northwestern University  
Chicago/Evanston, Illinois**

Areas of study included: Financial Analysis, Corporate Finance, Securities Analysis, Marketing and International Business; activities included Finance Association and Student Advisory Council.

1965-1969

**Bachelor of Business Administration  
Finance and Accounting**

**College of Business Administration  
The University of Oklahoma  
Norman, Oklahoma**

Areas of study included: Accounting, Calculus, Corporate Finance, Economics, Securities Analysis; extra curricular activities included: President of Men's Housing Council, Student Congress Representative, Student Union Activities Council, BMOC, Dean's List.

**Charitable & Philanthropic**

- **Financial and Fund Raising Advisor** to the charitable foundation for **The Chelsea and Westminster Hospital** and the **Grosvenor Chapel**, Mayfair. Treasurer and Fund Raising Director for **The League of Friends of The Chelsea and Westminster Hospital**, organized and Chair **The American Friends of the Grosvenor Chapel** charitable foundation. Organized significant fundraising events for the **Grosvenor Chapel** with the support of the **American Ambassador** and the **American Embassy** and for **The Chelsea and Westminster Hospital** with the **Mayor of the Royal Borough of Kensington and Chelsea**.

**Professional and Personal Associations and Interests:**

Previous Vice-Chairman and founding member of the Board of Directors for the International Association of Financial Engineers, Representative to the World Bank/IMF Annual Meetings, International Participant in US Department of Defense's National Security Seminar, former member of the Board of Advisors of the University of Oklahoma's College of Business Administration, former representative to the Securities Industry Association (SIA) and International Swaps Dealers Association (ISDA), member of the Royal Automobile Club (RAC) and Institute of Directors in London, active in advisory and fundraising for medical research, rehabilitation and employment programs for the disabled, former member of the Board of Directors for New York UNICEF; personal recreation include bicycling, classic car restoration, skiing, swimming and extensive global travel.